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APR 08 2002

TRANSMITTAL LETTER
(General - Patent Pending)Docket No.
00-106

In Re Application of: MUELLER et al.

Serial No.
09/993,228Filing Date
November 14, 2001Examiner
Not Yet AssignedGroup Art Unit
Not Yet Assigned

Title: METHOD AND APPARATUS FOR DYNAMIC RULE AND/OR OFFER GENERATION

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Copy of Notice of Incomplete Nonprovisional Application, 2 pp.;
Petition for Extension of Time Under 37 CFR 1.136(a); 1 pg., (x2);
Assertion of Small Entity Status, 1 pg.; and
Executed Combined Declaration and Power of Attorney, 7 pp.; and
Return Receipt Postcard.

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OFFICE OF PETITIONS

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$120.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.



Signature

Dated: April 2, 2002


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CC:

I certify that this document and fee is being deposited
April 2, 2002 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence

**COPY**

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,228	11/14/2001	Raymod J. Mueller	00-106

CONFIRMATION NO. 8478

FORMALITIES LETTER



OC000000007376046

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STAMFORD, CT 06905

Date Mailed: 01/28/2002

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)**

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- ✓ The specification does not include at least one claim.
A complete specification as prescribed by 35 U.S.C. 112 is required.

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The required items noted below SHOULD be filed along with any items required above. The filing date of nonprovisional application will be the date of receipt of the items required above.

- ✓ The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ✓ The balance due by applicant is \$ 740.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

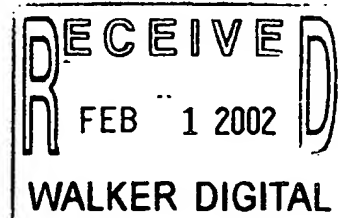
The required item(s) identified below must be timely submitted to avoid abandonment:

- ✓ A substitute specification in compliance with 37 CFR 1.52 because:

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- Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")* ✓
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

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